

## RESEARCH ARTICLE

# Distribution and source of glycerol dialkyl glycerol tetraethers (GDGTs) and the applicability of GDGT-based temperature proxies in surface sediments of Prydz Bay, East Antarctica

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## **Abstract**

Reliable records of Southern Ocean seawater palaeotemperatures are important because this region plays a significant role in regulating global climate change. Biomarkers such as GDGT-based indices have been effectively used to reconstruct seawater temperatures. We analysed the composition and distribution of iGDGTs, OH-GDGTs and brGDGTs and calculated GDGT-based temperature indices in surface sediments from Prydz Bay, East Antarctica. Our results showed that iGDGTs, OH-GDGTs and brGDGTs are all produced in situ, with iGDGTs and OH-GDGTs mostly synthesized by Thaumarchaeota. Concentrations of iGDGTs, OH-GDGTs and brGDGTs showed similar spatial distributions and decreased from the continental shelf towards the deep ocean. The highest concentrations were in the inner bay, which is attributed to a combination of (1) bathymetry that reduces water exchange, (2) the Prydz Bay Gyre stabilizing the upper water column and (3) sea ice that releases archaea and bacteria. Among the temperature indices based on iGDGTs, OH-GDGTs and combinations therein, those based on OH-GDGTs showed the strongest correlation with seawater temperature. Some OH-GDGT-based indices (e.g., OH-0/ OHs, OH-1/OHs, OH-2/OHs and RI-OH') exhibited a stronger correlation with annual subsurface ocean temperature (100-200 m), which may be related to archaeal habitats and production mechanisms. Our study suggests that RI-OH' and OH-0/OHs could be used as indicators of annual subsurface ocean temperature in Antarctic marginal seas.

#### Keywords

Biomarkers; archaea; seawater temperature; palaeotemperature indices; Antarctic marginal seas

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#### **Abbreviations**

ADZ: Antarctic Divergence Zone APCI: atmospheric pressure chemical ionization

ASC: Antarctic Slope Current brGDGT: branched GDGT CDW: circumpolar deep water CO<sub>a</sub>: carbon dioxide

CoC: Antarctic Coastal Current Cren: crenarchaeol Cren': crenarchaeol isomer

GDGT: glycerol dialkyl glycerol tetraether

iGDGT: isoprenoid GDGT

mCDW: modified circumpolar deep water ODV: Ocean Data View version 4.7.3

software package

OH-GDGT: hydroxylated isoprenoid GDGT

RI-OH': ring index of OH-GDGTs SOT: subsurface ocean temperature SST: sea-surface temperature TEX<sub>86</sub>: tetraether index of tetraethers consisting of 86 carbon atoms

TOC: total organic carbon

WOA13: World Ocean Atlas 13 version 2

To access the supplementary material, please visit the article landing page

#### Introduction

The Southern Ocean plays an important role in the global climate system (Rintoul et al. 2018). Overturning of Southern Ocean water regulates global exchanges of

heat (Frölicher et al. 2015) and CO<sub>2</sub> (Gruber et al. 2009) and supplies nutrients to lower latitudes (Sarmiento et al. 2004); therefore, the Southern Ocean strongly influences global climate change. Simultaneously, it is also sensitive to global climate change; for instance, Southern

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Ocean surface or upper water temperatures have risen and continue to rise because of global warming (Turner et al. 2014; Rintoul et al. 2018). Seawater temperature, as an important physical parameter, has become indispensable in the study of global climate change. It is significant for studying global CO, flux as pCO, can be reduced by cooling warm waters in subpolar regions (Takahashi et al. 2002), and it is also crucial for studies on ecosystem variations in polar marine environment because seawater temperature and sea-ice extent can together affect food sources, organismal growth and reproduction, and biogeochemical cycles (Doney et al. 2012). Reliable records of seawater temperature are essential for the reconstruction of climate changes and predictions for the future (Nurnberg 2000). To overcome the short time records from instrumental temperature measurements, biomarkers have been used to obtain temperature data indirectly (Sachs et al. 2013). As one of the most promising and widely applied organic geochemical biomarkers, GDGTs have been used to reconstruct seawater temperature from the tropics to the polar regions (Schouten et al. 2013).

GDGTs are cell membrane lipids that are biosynthesized by archaea and/or bacteria; they have been detected in most environments, including peat, soil and the ocean (Schouten et al. 2013). On the basis of structural differences, GDGTs are usually divided into iGDGTs and brG-DGTs. Schouten et al. (2002) found that the number of cyclopentane rings in iGDGTs present in marine sediments varies with annual mean SST and proposed using TEX<sub>86</sub> to reconstruct seawater temperatures. Kim et al. (2010) further proposed using TEX<sub>86</sub> and TEX<sub>86</sub> in environments below and above 15 °C, respectively. Improvements in detection techniques allowed OH-GDGTs to be identified recently in marine subsurface sediments (Lipp & Hinrichs 2009) and the structural diversity was recognized from tandem mass spectral fragmentation patterns (Liu, Summons et al. 2012). Subsequent studies reported that both the number of rings and the relative abundance of OH-GDGTs to total iGDGTs exhibit strong relationships with SST (Fietz et al. 2013; Huguet et al. 2013; Lü et al. 2015). In the Arctic Ocean, correlations between SST and OH-GDGT-based indices were stronger than those between SST and TEX<sub>86</sub> and its derivative TEX<sup>L</sup><sub>86</sub> (Fietz et al. 2013). The performance of OH-GDGT-based indices was also superior to TEX<sub>86</sub> and TEX<sup>L</sup><sub>86</sub> in the reconstruction of temporal trends in glacial and interglacial temperatures in the sub-Antarctic Atlantic Ocean (Fietz et al. 2016). Lü et al. (2015) proposed using RI-OH' to trace cool polar SSTs, and this index has been applied to study glacialinterglacial environmental changes over the Yermak Plateau, close to the Arctic Ocean (Kremer et al. 2018). The applicability of TEX<sub>86</sub> and TEX<sup>L</sup><sub>86</sub> palaeothermometry in the Southern Ocean has been validated. GDGTs have been used to reconstruct Holocene surface water (Shevenell et al. 2011) and upper water column (depths of 0–200 m) temperatures over the western Antarctic Peninsula (Etourneau et al. 2013), as well as Holocene subsurface water temperatures over the eastern Antarctic continental margin (Kim et al. 2012). However, few studies of OH-GDGTs and OH-GDGT-based indices have been undertaken in the Southern Ocean and their applicability in relatively enclosed Antarctic shelf areas, such as the Ross Sea and Prydz Bay, needs to be examined.

Prydz Bay is the largest shelf sea on the East Antarctic margin (Harris et al. 1998). The average temperature is low and sea-ice coverage is seasonal (Smith et al. 1984). In their study on archaeal abundance and diversity 5 m below the sea ice in Prvdz Bay, Ma et al. (2014) found Thaumarchaeota in sea ice and seawater and Euryarchaeota in seawater; they reported that the average archaeal abundance accounted for 14.7% of the total picoplankton abundance in seawater and 28.3, 34.7 and 6.6% in the top, middle and bottom layers, respectively, of sea ice. Therefore, GDGTs produced by archaea in upper water masses are likely present in Prydz Bay sediments and would provide information about the environment in which the archaea developed. In this study, we evaluated the potential of OH-GDGTs as indicators of seawater temperature in Antarctic marginal seas. Surface sediments from the continental shelf and deep ocean area of Prydz Bay were analysed to determine the composition, distribution and potential sources of GDGTs, including iGDGTs, OH-GDGTs and brGDGTs.

# **Material and methods**

# Study area

Prydz Bay has a surface area of about 80 000 km<sup>2</sup> and is located in the Indian sector of the Southern Ocean between approximately 65° E and 80° E (Taylor & McMinn 2002). Its southern apex is connected to the Amery Ice Shelf/Lambert Glacier and its northern boundary extends to the continental slope break (67° S). Fram Bank and Four Ladies Bank are on the western and eastern sides of the bay, respectively. These shallow banks form a spatial barrier to water exchange between the bay and the deep ocean (Smith & Treguer 1994). The inner bay is a basin with a water depth of about 800 m. The offshore open ocean, which lies to the north of the bay, is about 3000 m deep. In the surface ocean around Prydz Bay, the CoC and ASC flow westward, the Antarctic Circumpolar Current flows eastward, and cyclonic circulation is found in the Prydz Bay Gyre and in the vicinity of the ADZ (Smith et al. 1984; Vaz & Lennon 1996; Taylor & McMinn 2002; Yabuki et al. 2006; Williams et al. 2016). Prydz Bay is also

characterized by seasonal sea-ice change. Sea ice expands to approximately 58° S in austral winter and retreats to the continental shelf in austral summer, although some multi-year ice may exist in coastal areas (Smith & Treguer 1994; Worby et al. 1998).

# **Samples**

Sixteen marine surface sediment samples from Prydz Bay were collected using a stainless steel box sampler during the 29th Chinese Antarctic Expedition in the austral summer of 2013. After collection, all samples were stored at –20 °C and then freeze-dried in the laboratory until analysis. The samples were distributed over a broad range of geographic zones (Wang et al. 2015), including the inner bay, banks and deep-ocean regions (Fig. 1). The sedimentation rates in the inner bay and banks range from 0.47 to 1.88 mm/yr (average of 1.06 mm/yr) (Yu et al. 2009); therefore, the surface sediments represent years to decades. Although sedimentation rates are generally lower in the deep ocean, the surface sediments here represent a few hundreds of years at most (Müller et al. 1998;

Roquet et al. 2014; Wu et al. 2017). Therefore, calibration scatter caused by differences in time is acceptable for temperature reconstructions on a geological time scale.

## **Analytical procedures**

A portion of each sample (5-10 g) was used for GDGT analysis. The GDGTs were extracted using an accelerated solvent extractor (Dionex ASE 350, Thermo Fisher), according to the following protocol (Ho et al. 2014): heating for 5 min at 100 °C, static time of 10 min and three cycles with a solvent mixture of dichloromethane:methanol (9:1, v/v). Prior to the extraction, the internal standard C<sub>4</sub> GDGT (0.4384 µg/sample) was added. After extraction, the total lipid extracts were fractionated by open activated silica column (0.8 cm inside diameter, volume 5 mL) preconditioned with *n*-hexane (10 mL) into apolar and polar fractions using n-hexane (8 mL) and dichloromethane:methanol (10 mL; 95:5, v/v), respectively, as eluents (Kremer et al. 2018). The GDGTs were included in the polar fractions. All of the polar fractions were blow-dried under nitrogen, redissolved in a solvent

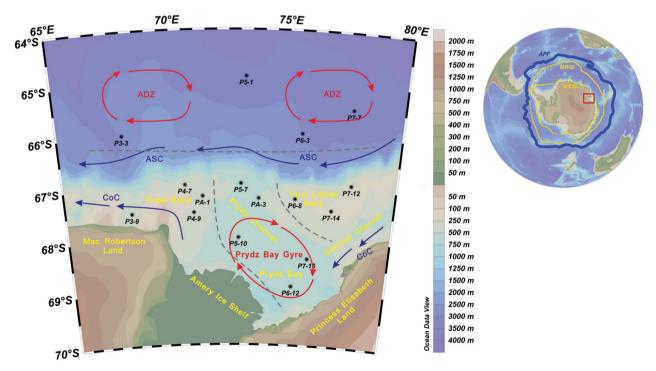


Fig. 1 Sampling sites and environmental setting of Prydz Bay, East Antarctica. The Prydz Bay area is outlined by a red rectangle on the inset map. The blue lines represent the CoC and ASC. The red circles denote the Prydz Bay Gyre and ADZ. All of the currents are based on Cooper & O'Brien (2004) and Wu et al. (2017). According to surface sediment characteristics (Wang et al. 2015), the light grey dotted lines indicate three sections in our study area: the inner bay, banks and deep ocean. The topographic map was generated using ODV (Schlitzer 2015). All of the sample sites are represented as black dots. All of the surface sediments were collected using a box sampler during the 29th Chinese Antarctic Expedition in the 2013 austral summer. In the overview map, the deep blue shaded area denotes the Antarctic Polar Front generated by ODV. The yellow line represents the modern winter sea-ice edge and modern summer sea-ice edge. The sea-ice extent data were obtained from the US National Snow and Ice Data Centre.

mixture of n-hexane:isopropanol (1 mL; 95:5, v/v) and filtered through a 0.45- $\mu$ m polytetrafluoroethylene filter.

Compound identification was performed with ultra- performance liquid chromatography (Acquity, Waters) coupled with APCI mass spectrometry (Xevo TQ MS, Waters) equipped with an HSS Cyano column (2.1×100 mm, 1.8 µm). The APCI source was operated in positive ion mode with conditions as follows: 2.2 µA corona current, 1.5 kV corona voltage, 33 V cone voltage, 3 V extractor voltage, 100 L/Hr cone gas, 150 °C source temperature, 550 °C probe temperature, 1000 L/Hr desolvation gas and 0.15 mL/min crash gas. GDGTs were eluted using E1 (hexane):E2 (hexane:isopropanol) (99:1, v/v), with 0.1% isopropanol for 0.5 min (flow rate: 0.2 mL/ min), then a linear gradient up to 0.5% over 0.6 min (3.5 min isothermal), followed by up to 1% in 1.4 min (2 min isothermal) and finally to 0.1% (4 min isothermal) (Kremer et al. 2018).

Selected ion monitoring was used to scan [M+H]<sup>+</sup> of the iGDGTs including GDGT-0, GDGT-1, GDGT-2, GDGT-3, Cren and Cren' at m/z of 1302, 1300, 1298, 1296, 1292 and 1292, respectively. The brGDGTs, including GDGTs IIIa, GDGTs IIIb, GDGTs IIIc, GDGTs IIIa, GDGTs

IIb, GDGTs IIc, GDGTs Ia, GDGTs Ib and GDGTs Ic, were scanned at 1050, 1048, 1046, 1036, 1034, 1032, 1022, 1020 and 1018 m/z, respectively, and OH-GDGT-0 (OH-0), OH-GDGT-1 (OH-1) and OH-GDGT-2 (OH-2) were scanned at 1318, 1316 and 1314 m/z , respectively (Fig. 2). Peak area integration of OH-GDGTs also comprised in-source fragmentation products of OH-GDGTs (1300, 1298 and 1296 m/z) (Liu, Lipps et al. 2012; Lü et al. 2015). Quantification of the GDGTs was carried out using  $C_{46}$ GDGT as an internal standard.

# Seawater temperature

We extracted seawater temperatures from WOA13 on a 1/4° grid resolution (Locarnini et al. 2013). Annual and seasonal mean climatological seawater temperatures from 1955 to 2012 were averaged over different depths to examine the applicability of temperature indices for the austral autumn (April–June), winter (July–September), spring (October–December) and summer (January–March). Since the water depths of some stations in the banks zone were just more than 200 m, we used 200 m as the deepest layer in the discussion. The selected water

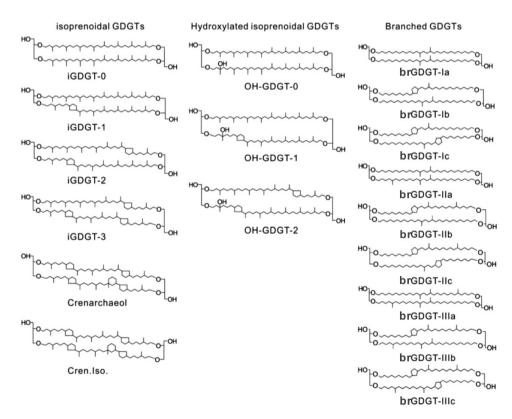


Fig. 2 Structures of iGDGTs, OH-GDGTs and brGDGTs.

depths were 0, 10, 20, 30, 50, 75, 100, 125, 150, 175 and 200 m. The extracted seawater temperatures were from the same latitude and longitude or the nearest degree to each surface sediment site.

# **Calculation and statistical analysis**

All GDGT data were normalized to TOC to eliminate possible effects of organic matter diagenesis (Fietz et al. 2012). The ratios of GDGT-0/Cren and brGDGT-IIIa/IIa were used to identify the potential sources of iGDGTs and brGDGTs, respectively (Blaga et al. 2009; Xiao et al. 2016). Most of the GDGT-based temperature indices used in this study are listed in Table 1. The applicability of fractional abundances of OH-GDGTs (OH-0/ OHs, OH-1/OHs and OH-2/OHs) as palaeotemperature indices was also tested. Statistical analyses were performed using IBM SPSS 19.0. Significant differences between samples were defined using the Pearson correlation coefficient. Figures were drawn using Origin 9.1, ODV (Schlitzer 2015), CorelDRAW X8, ArcGIS 10.2 and R programming language software 3.5.2 (R Core Team 2018).

#### Results

# **Composition and distribution of GDGTs**

We found iGDGTs, OH-GDGTs and brGDGTs in all sediment samples from Prydz Bay. Concentrations of iGDGTs, OH-GDGTs and brGDGTs ranged from 410 to 7972, 50 to 1528 and 59 to 383 µg/g TOC, respectively, and the average concentrations ± standard deviation were 2318±1976, 504±439 and 182±96 μg/g TOC, respectively (Fig. 3). The iGDGTs clearly dominated, accounting for 68.5-86.8% of total GDGTs, while OH-GDGTs and brGDGTs represented 7.4–23.1% and 2.6–15.2%, respectively. The maximum ratio (23.1%) of OH-GDGTs to total iGDGTs was slightly higher than that (20.5%) proposed by Huguet et al. (2013) for high latitudes. The highest concentrations of iGDGTs, OH-GDGTs and brGDGTs were found at stations P5-10 and P6-12,

Table 1 GDGT-based indices used in this study.

Number	Equation	Reference
1	$\frac{(GDGT-2]+[GDGT-3]+[Cren'])}{TEX_{86}} = \frac{(GDGT-1]+[GDGT-2]+[GDGT-3]+[Cren']}{(GDGT-1]+[GDGT-2]+[GDGT-3]+[Cren']}$	Schouten et al. 2002
2	$TEX_{86}^{L} = log \left( \frac{\left[ GDGT - 2 \right]}{\left[ GDGT - 1 \right] + \left[ GDGT - 2 \right] + \left[ GDGT - 3 \right]} \right)$	Kim et al. 2010
3	$OH\% = \frac{\sum OH - GDGTs}{\sum OH - GDGTs + \sum iGDGTs} \times 100$	Huguet et al. 2013
4	$OH-TEX_{86} = \frac{ \left[ GDGT-2 \right] + \left[ GDGT-3 \right] + \left[ Cren' \right] }{ \sum OH-GDGTS + \left[ GDGT-1 \right] + \left[ GDGT-2 \right] + \left[ GDGT-3 \right] + \left[ Cren' \right] }$	Huguet et al. 2013
5	$OH^{L} = Log_{10} \left[ \frac{\Sigma OH - GDGTs}{\Sigma OH - GDGTs + \Sigma iGDGTs} \right]$	Fietz et al. 2016
6	$OH^{C} = \frac{\left[GDGT-2\right] + \left[GDGT-3\right] + \left[Cren'\right] - \left[OH-GDGT-0\right]}{\left[GDGT-1\right] + \left[GDGT-2\right] + \left[GDGT-3\right] + \left[Cren'\right] + \sum OH-GDGTs}$	Fietz et al. 2016
7	$RI-OH' = \frac{\left[OH-GDGT-1\right]+2\times\left[OH-GDGT-2\right]}{\left[OH-GDGT-0\right]+\left[OH-GDGT-1\right]+\left[OH-GDGT-2\right]}$	Lü et al. 2015
8	$OH-O/OHs = \frac{[OH-GDGT-0]}{[OH-GDGT-0] + [OH-GDGT-1] + [OH-GDGT-2]}$	Lü et al. 2015
9	$OH-1/OHs = \frac{[OH-GDGT-1]}{[OH-GDGT-0]+[OH-GDGT-1]+[OH-GDGT-2]}$	Lü et al. 2015
10	$OH-2/OHs = \frac{[OH-GDGT-2]}{[OH-GDGT-0]+[OH-GDGT-1]+[OH-GDGT-2]}$	Lü et al. 2015

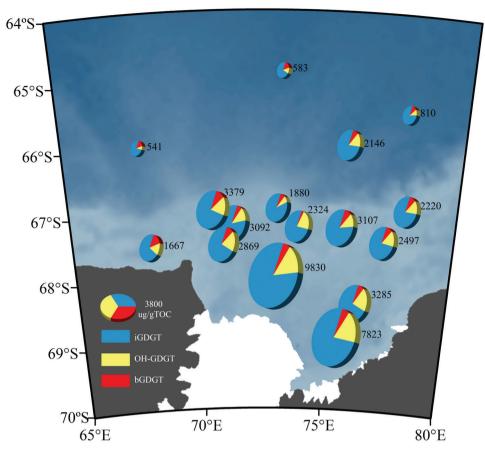


Fig. 3 Concentrations and distribution of iGDGTs, OH-GDGTs and brGDGTs in surface sediments of the study area (μg/g TOC). The size of the pie chart is proportional to total GDGTs: the larger the pie chart, the higher the total GDGT concentration. The ratios of blue, yellow and red sections denote relative fractional abundances of iGDGTs, OH-GDGTs and brGDGTs to total GDGTs, respectively. The black numbers represent the concentrations of total GDGTs.

which were in the centre and south-eastern corner of the inner bay, respectively. The lowest concentrations of iGDGTs and OH-GDGTs were found at station P3-3, which was located in the deep ocean, and the lowest concentration of brGDGTs was found at station PA-3 in Prydz Channel. These results indicate that concentrations of iGDGTs, OH-GDGTs and brGDGTs show similar spatial distributions and decrease from the continental shelf towards the deep ocean.

# **Relative fractional abundances of GDGTs**

All fractions (types) of GDGTs were detected and quantified, although the concentrations of some fractions were very low (Supplementary Table S1). The dominant iGDGTs were GDGT-0 and Cren, which accounted for 36–73% and 25–60%, respectively, of total iGDGTs, while the sum of GDGT-1 to GDGT-3 only accounted for 4%, on average, of total iGDGTs. The dominant OH-GDGT was OH-0, which accounted for 80–94% of total OH-GDGTs. The dominant brGDGTs were GDGT-III (including GDGT-IIIa,

IIIb and IIIc), which accounted for 30–65% of total brG-DGTs, and GDGT-II, which accounted for 19–54% of total brGDGTs. Differences in fractional abundances of various brGDGTs on the continental shelf and in the deep ocean were observed; the relative abundance of GDGT-IIIa was high in the deep ocean, while that of GDGT-IIb was high on the continental shelf.

#### **GDGT-based** indices

Ratios of GDGT-0/Cren ranged from 0.6 to 1.9, except at station P5–10, where it reached 2.9 (Fig. 4a). Ratios of brGDGT-IIIa/brGDGT-IIa ranged from 1.8 to 4.9, with an average of 3.1 (Fig. 4b). The GDGT-based indices, including TEX $_{86}$ , TEX $_{86}$ , OH%, OH-TEX $_{86}$ , OHL, OHC and RI-OH, were calculated from surface sediment samples from Prydz Bay (Supplementary Table S2). TEX $_{86}$  ranged from 0.34 to 0.46 and TEX $_{86}$  ranged from –0.79 to –0.62. The OH% ranged from 7.8 to 24.5%, OH-TEX $_{86}$  ranged from 0.04 to 0.13, OHL ranged from –1.11 to –0.61, OHC ranged

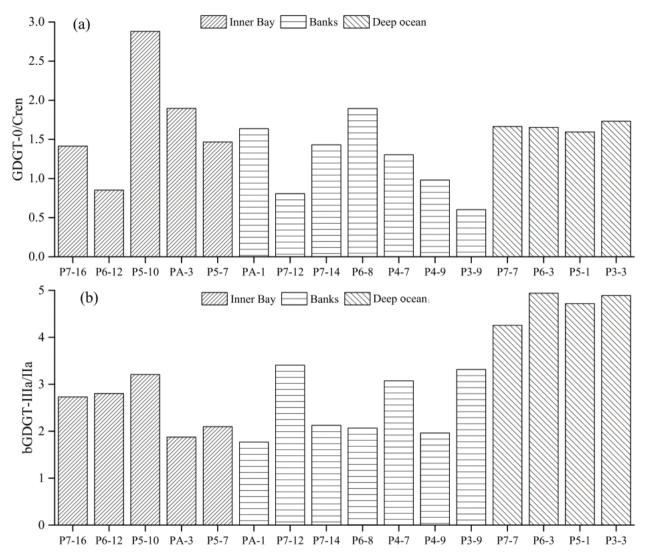


Fig. 4 Ratios of GDGT-0/Cren and brGDGT-Illa/lla from all stations in our study area, including the inner bay, banks and deep ocean.

from -0.80 to -0.37 and RI-OH' ranged from 0.07 to 0.36. The OH-0/OHs ranged from 0.72 to 0.94, OH-1/OHs ranged from 0.05 to 0.19 and OH-2/OHs ranged from 0.01 to 0.08.

# **Discussion**

# Potential sources of GDGTs and factors controlling distribution

Potential sources of iGDGTs. The iGDGTs are categorized into GDGT-0, GDGT-1, GDGT-2, GDGT-3, Cren and Cren' depending on the number of cyclopentane moieties (Fig. 2) (Schouten et al. 2013). In our study area, GDGT-0 and Cren were the two dominant types, accounting for 36-73% and 25-60% of iGDGTs, respectively. Cren is mainly produced by Thaumarchaeota (Sinninghe Damsté et al. 2002; Schouten et al. 2013), which are more abundant than Euryarchaeota in Prydz Bay (Ma et al. 2014). Thaumarchaeota may therefore be an important source of Cren. The Pearson correlation coefficients between concentrations of every two fractions were high (0.66 < r < 0.98, p < 0.01), suggesting that all fractions were derived from the same source. Therefore, Thaumarchaeota are also an important source of iGDGTs overall. In addition, in Thaumarchaeota the ratio of GDGT-0/ Cren typically varies between 0.2 and 2 (Schouten et al. 2002), while a GDGT-0/Cren value >2 indicates other origins for GDGT-0 (Blaga et al. 2009; Schouten et al. 2013). In our study area, the GDGT-0/Cren ranged from 0.6 to 1.9, indicating that Thaumarchaeota are likely the main source of iGDGTs. The exception was station P5-10, where GDGT-0/Cren reached 2.9, suggesting that other archaea, such as Euryarchaeota, could be another source of GDGT-0 at station of P5–10. Overall, Thuamarchaeota are most likely the main source of iGDGTs; however, small amounts contributed by other archaea could not be excluded.

Potential sources of OH-GDGTs. OH-GDGTs are a newly discovered type of iGDGTs. They have one to two additional hydroxyl groups on the alkyl chain and have higher polarity than iGDGTs (Liu, Summons et al. 2012). Similar to iGDGTs, OH-GDGTs are categorized into OH-0, OH-1 and OH-2 (Fig. 2). In Prydz Bay surface sediments, we found a strong linear relationship between iGDGT and OH-GDGT concentrations ( $R^2 = 0.88$ , p < 0.01) and between OH-0 and Cren concentrations ( $R^2 = 0.88$ , p < 0.01). According to previous studies in Nordic seas (Fietz et al. 2013) and Baltic Sea (Kaiser & Arz 2016) surface sediments, the positive relationship between iGDGTs and OH-GDGTs indicates that they may be produced by a common archaeal community source, and this could also be the case in our study area. Identification of OH-GDGT biological sources is complex because of the abundant diversity of archaeal communities (Teske & Sørensen 2008). The dominant thaumarchaeotal group, Group 1.1a Thaumarchaeota (Schouten et al. 2013) and the methanogenic Euryarchaeota Methanothermococcus thermolithotrophicus produce OH-GDGTs in marine environments (Liu, Lipps et al. 2012); however, OH-GDGTs are not present in Group 1.1b Thaumarchaeota (Schouten et al. 2013), but other unspecified Crenarchaeota or Euryarchaeota could also synthesize OH-GDGTs (Lü et al. 2015). Hence, similar to iGDGTs, Thaumarchaeota might be the main source of OH-GDGTs, while small amounts could also be produced by other archaea.

**Potential sources of brGDGTs.** BrGDGT-IIIa/IIa in surface sediments from our study area ranged from 1.8 to 4.9, with an average of 3.1 (Fig. 4b). In their global survey, Xiao et al. (2016) showed that the ratio of brG-DGT-IIIa and brGDGT-IIa is generally less than 0.59 in soils and greater than 0.92 in marine sediments without significant terrestrial input, thereby allowing the provenance of brGDGTs to be determined. Our results were much higher than 0.92, suggesting that brGDGTs in our study area have a marine origin. In addition, the brG-DGT-IIIa/IIa values were higher in the deep ocean than in the inner bay and banks.

Generally, brGDGTs are thought to be synthesized by soil bacteria (Sinninghe Damsté et al. 2000; Weijers et al. 2006). Investigations into sources of brGDGTs (Weijers et al. 2009) and aerobic Acidobacteria cultures (Sinninghe Damsté et al. 2011) indicated that Acidobacteria are a likely source of brGDGTs. Studies of marine sediments (Peterse et al. 2009) and lake water

and sediments (Sinninghe Damsté et al. 2009; Tierney & Russell 2009; Tierney et al. 2010) also reported in situ bacterial synthesis of brGDGTs. In marine sediments, in situ production is generally negligible compared with soil input (Peterse et al. 2009). However, around Prydz Bay, true soil influence is negligible because of the absence of fluvial input (Gasparon & Matschullat 2006). Therefore, in our study area, brGDGTs might have been produced in situ and probably did not come from soil.

Factors controlling GDGT distribution. In our study area, iGDGT, OH-GDGT and brGDGT concentrations were highest on the continental shelf and decreased towards the deep ocean. There were strong correlations between iGDGT and OH-GDGT concentrations ( $R^2 = 0.88$ , p < 0.01), brGDGT and iGDGT concentrations ( $R^2 = 0.71$ , p < 0.01) and OH-GDGT and brGDGT concentrations  $(R^2 = 0.72, p < 0.01)$ . All the GDGTs (iGDGTs, OH-GDGTs and brGDGTs) were likely produced in situ; therefore, GDGT abundances might be affected by the same factors. Depositional and preservation-related effects on organic matter were reduced through the normalization to TOC (Fietz et al. 2012). In addition, degradation differences between brGDGTs and iGDGTs from matrix protection seemed not to be a factor as all of the GDGTs were likely produced in the marine environment (Huguet et al. 2008). Hence, GDGT composition in surface sediments depends on the productivity of archaea and bacteria in the overlying water (Wang et al. 2013).

Bathymetry, the Prydz Bay Gyre and sea ice may explain the high abundance of GDGTs in the inner bay (stations P5-10 and P6-12). The closed Prydz Bay Gyre stabilizes the upper water column, while Four Ladies and Fram banks reduce water exchange with the deep ocean (Smith & Treguer 1994; Sun et al. 2012). These characteristics benefit archaeal and bacterial productivity and organic matter sedimentation from the upper ocean. In the inner bay, sea ice melts early and in large quantities (Smith & Treguer 1994), thereby releasing abundant amounts of previously trapped archaea and bacteria into Prydz Bay (Brinkmeyer et al. 2003; Cowie et al. 2011; Ma et al. 2014). In the deep ocean, an intensified ASC and upwelled CDW/mCDW might not be conductive to water stability (Smith et al. 1984; Meijers et al. 2010), which would affect the productivity of archaea and bacteria.

#### **Evaluation of GDGT-based SST indices**

Applicability of GDGT-based SST indices. Mean annual SST in our study area ranges from -1.56 to -0.70 °C, as determined from WOA13 data. Despite the small variability in mean annual values, SST exhibits seasonal variability. SSTs are higher during the ice-free period in austral

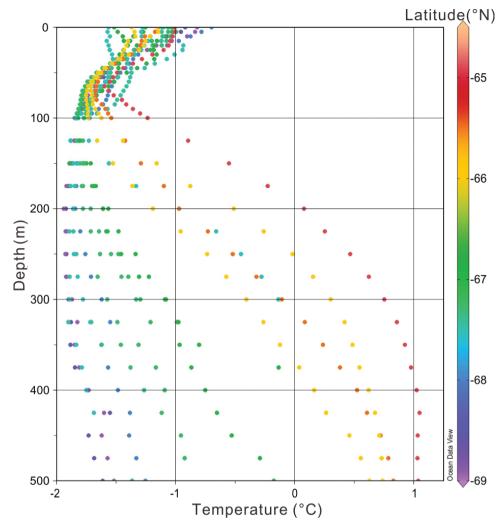


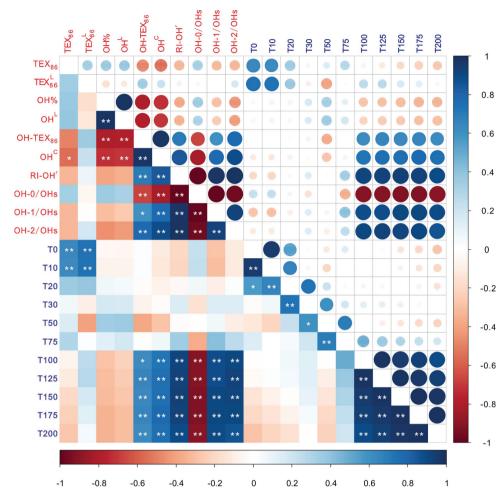
Fig. 5 Variations in seawater temperature with depth at all stations in our study area. Using the station location, we extracted seawater temperatures from the same or nearest sites at different depths from WOA13 on a 1/4° grid resolution (Locarnini et al. 2013) and displayed the data using ODV (Schlitzer 2015).

summer and lower in other seasons when sea ice is present. There is a clear variation in seawater temperature with water depth, with temperatures decreasing from the surface to about 100 m and increasing between 100 and 500 m (Fig. 5).

As a result of in situ GDGT synthesis in our study area, sedimentary GDGTs can reflect environmental information (e.g., seawater temperature) of archaeal habitats. We examined the correlations between annual mean seawater temperature at different depths and various indices based on iGDGTs and OH-GDGTs to assess the applicability of these indices (Fig. 6). The widely used TEX<sub>86</sub> and TEX<sup>L</sup><sub>86</sub> are more strongly correlated with annual SST than with SOT. However, Pearson's correlation coefficients were relatively low for both indices (Fig. 6), and SSTs reconstructed from TEX<sup>L</sup><sub>86</sub> (between –3.9 and 4.8 °C) differ from those reported in WOA13. In a study of global OH-GDGT distribution,

Huguet et al. (2013) showed that OH% may increase from low latitudes to polar regions with decreasing temperature, and that relative abundances of surface sediment OH-GDGTs are significantly correlated with SSTs over a wide temperature range. However, we found no significant correlation between temperature and OH% or OH<sup>L</sup> (Fig. 6). The sensitivity of OH% may be too low over the narrow temperature range (–2 to 0 °C) found in our study area.

The OH-TEX<sub>86</sub>, OH<sup>c</sup>, OH-0/OHs, OH-1/OHs, OH-2/OHs and RI-OH' are more strongly correlated with annual SOT (100–200 m) than with annual SST. Pearson's correlation coefficients between SOT and OH<sup>c</sup> and OH-TEX<sub>86</sub> were relatively low, while those between SOT and OH-0/OHs, OH-1/OHs, OH-2/OHs and RI-OH' were higher (Fig. 6). These results suggest that fractional abundances of OH-GDGTs are more sensitive than iGDGTs to seawater temperature.



**Fig. 6** Correlations between annual seawater temperatures at different depths and various indices based on iGDGTs and OH-GDGTs. T0–T200 represent seawater temperatures at different water depths from 0 to 200 m. The size and colour represent the positive, negative and magnitude of correlation coefficients. A single asterisk indicates statistical significance at the 0.05 level for a two-tailed test. Double asterisks indicate statistical significance at the 0.01 level for a two-tailed test.

Every fractional abundance of OH-GDGTs varies with SOT. The OH-0/OHs is negatively correlated with SOT, while OH-1/OHs and OH-2/OHs are positively correlated with SOT. For OH-GDGT-based indices, correlations with annual SOT were higher than those with seasonally averaged SOT (Fig. 7), indicating the suitability of these indices as indicators of annual SOT. The strong correlations between RI-OH', OH-0/OHs and SOT highlight the potential to reconstruct SOT in Prydz Bay using OH-0, which is more abundant than OH-1 and OH-2 in the colder waters of the polar regions (Fig. 8). Conversely, OH-2/OHs may be more suitable as an SST proxy in the tropical South China Sea where SST is above 25 °C (Yang et al. 2018). The calibration equations relating SOT (100-200 m) to RI-OH' and OH-0/ OHs obtained from least-squares regression are:

OH-0/OHs = 
$$-0.1636 \times SOT + 0.6138$$
;  
 $R^2 = 0.86$ ,  $n = 16$ ,  $p < 0.01$  (1)  
RI-OH' =  $0.2210 \times SOT + 0.5061$ ;  $R^2 = 0.86$ ,  
 $n = 16$ ,  $p < 0.01$  (2)

The analytical errors were 0.03 and 0.02 °C for OH-0/OHs and RI-OH', respectively, and the calibration errors of OH-0/OHs and RI-OH' were 0.15 and 0.14 °C, respectively. Equations 1 and 2 were obtained from a limited number of samples over a narrow temperature range; further examination and validation are therefore warranted.

Possible mechanisms underlying relationships between OH-GDGT-based indices and SOT. Archaeal habitats and production mechanisms may underlie the relationship between seawater temperature and

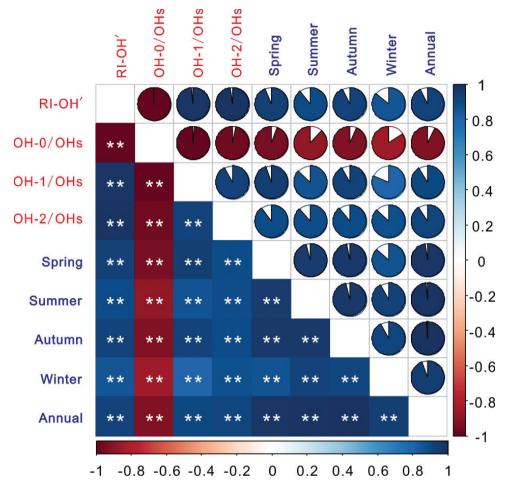


Fig. 7 Correlations between OH-GDGT-based indices with seasonal and annual SOT (100-200 m). The ratio and colour represent the positive, negative and magnitude of correlation coefficients. Double asterisks indicate statistical significance at the 0.01 level for a two-tailed test.

indices based on OH-GDGTs. All of the OH-GDGT-based indices showed stronger correlations with annual SOT (100-200 m) than annual SST, suggesting that OH-GDGTs may be mainly produced by planktonic Thaumarchaeota in subsurface waters. However, in Prydz Bay, archaeal abundance and diversity have only been studied in the water column of 5 m below sea ice (Ma et al. 2014). There is no information on archaeal abundance and diversity at other depths.

Studies conducted in other parts of Antarctica reported that archaea, dominated by Thaumarchaeota, are a significant component of the Antarctic picoplankton community in subsurface waters (Massana et al. 1998; Murray et al. 1999). In the Palmer Basin, archaeal rRNA maxima were found in subsurface (depths less than 100 m) waters (Murray et al. 1998). In the Gerlache Strait (Antarctic Peninsula), relative abundances of archaeal rRNA were clearly higher at greater depths than at the surface (Massana et al. 1998). In the western region of the Antarctic Peninsula

and in McMurdo Sound (Ross Sea), archaeal rRNA abundances were lower in surface waters and higher at depths greater than 150 m (Murray et al. 1999). West of the Antarctic Peninsula, the abundance of Group I Archaea was higher than that of Group II Archaea and, below 150 m, the abundance of Group I Archaea increased with depth (Church et al. 2003). In the same region, archaeal abundance was low in Antarctic Summer Surface Water (0-45 m) and higher in remnant Winter Water (45-105 m in summer) (Kalanetra et al. 2009). These studies have shown that archaeal abundance maxima are in subsurface waters, and vertical distribution (i.e., depth profiles) of archaeal abundance varies among different regions.

The Margalef abundance index of bacteria was higher at 50 m water depth than that at 100 and 200 m (Wu et al. 2014). Moreover, the archaeal abundance maximum generally lies in deeper waters than bacteria (Church et al. 2003; Kirchman et al. 2007). Hence, it is possible that in Prydz Bay, the archaeal abundance maximum

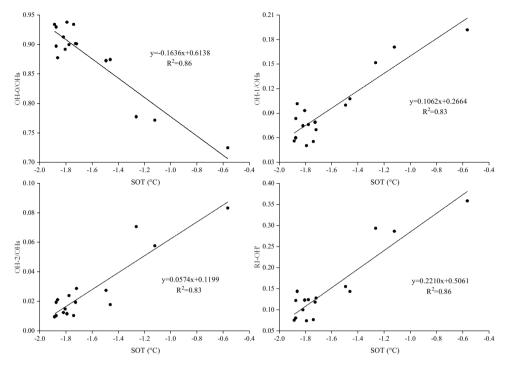


Fig. 8 Cross plots showing correlations between various OH-GDGT-based indices and SOT (100-200 m).

lies over depths of 100-200 m. In the Antarctic Peninsula, Etourneau et al. (2019) assumed that archaea mostly reside in the upper ocean, which is susceptible to intrusions of relatively warm water, especially CDW. The archaeal abundance maximum appears at the same time as the intrusion of CDW during the late winter and early spring when sea ice retreats; thus, GDGT-based temperatures could reflect a SOT influenced by warm water intrusion. In Prydz Bay, CDW upwells onto the continental shelf along troughs at the continental shelf break, allowing mCDW (warm water) to invade into Prydz Bay southward to Ice Shelf at intermediate depths (Herraiz-Borreguero et al. 2015). Therefore, archaeal growth in subsurface water might be associated with CDW/mCDW in our study area. However, more work on the linkage between archaeal biology and ecology, GDGT synthesis, and the relatively warm waters in Prydz Bay and other parts of the polar regions is necessary to obtain a better understanding of variability and applicability of indices based on OH-GDGTs.

## **Conclusions**

Our study detected iGDGTs, OH-GDGTs and brGDGTs in surface sediments of Prydz Bay, East Antarctica. All GDGTs were likely produced in situ. Concentrations of iGDGTs, OH-GDGTs and brGDGTs showed a similar spatial distribution, decreasing from the continental shelf to the deep

ocean. Compared with iGDGT-based indices, OH-GDGT-based indices showed greater potential as a tool to reconstruct seawater temperatures in Prydz Bay. Since archaea seem to be most abundant in subsurface seawater, the RI-OH' and OH-0/OHs indices hold promise as tools to reconstruct annual SOT (100–200 m) in our study area and potentially in other parts of the polar regions.

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## **Disclosure statement**

No potential conflicts of interest were reported by the authors.

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